Page 1 of 12

JAN 12 2001 E

A29

/OC/

4,434,791

NFORMATION DISCLOSURE CITATION
Form PTO-1449 (ModMed)
(Use several sheets if necessary)

ATTY, DOCKET NO.	SERIAL NO.
GUID-011CON2	10/734,353
APPLICANT	
н	u et al.
FILING DATE	GROUP
December 12, 2003	Unassinged

U.S. PATENT DOCUMENTS Subclass Filing Date Examiner **Document** Date Name Class If Appropriate Initial Number Al 452,131 05/12/1891 Haughawout /OC/ **A2** 810,675 01/23/06 Richter **A3** 1,706,500 03/26/29 Smith 2,296,793 09/22/42 Kirschbaum A4 Fluck **A5** 2,590,527 03/25/52 2,693,795 11/09/54 Grieshaber **A6** A7 2,863,444 12/09/58 Winsten A8 3,392,722 07/16/68 Jorgensen A9 3,683,926 08/15/72 Suzuki 3,720,433 03/13/73 Rosfelder A10 A11 3,783,373 01/08/74 Jocobs A12 3,783,873 01/08/74 Jacobs A13 3,858,926 01/07/75 Ottenhues A14 3,882,855 05/13/75 Schulte et al. A15 3,882,885 05/13/75 Schulte et al. A16 3,983,863 10/05/76 Janke et al. A17 4,047,532 09/13/77 Phillips et al. A18 4,048,987 09/20/77 Hurson Williams A19 4,049,000 09/20/77 A20 4,049,002 09/20/77 Kletschka et al. A21 4,052,980 10/11/77 Grams et al A22 4,226,228 10/07/80 Shin et al. A23 4,230,119 10/28/80 Blum de Medinaceli A24 4,306,561 12/22/81 A25 4,366,819 01/04/83 Kaster A26 01/18/83 Kaster 4,368,736 Estes et al. A27 4,421,107 12/20/83 01/31/84 Torii A28 4,428,368

EXAMINER /Cary O'Connor/	DATE CONSIDERED	09/21/2007

Darnell

03/06/84

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if

not in conformance and not considered. Include copy of this form with next communication to applicant

Page 2 of 12

NNFORMATION DISCLOSURE CITATION
Form PTO-1449 (ModMed)
(Use several sheets if necessary)

	I ugo z or iz
ATTY. DOCKET NO.	SERIAL NO.
GUID-011CON2	10/734,353
APPLICANT	
H	u et al.
FILING DATE	GROUP
December 12, 2003	Unassinged

	Y					
OF SHAPE	A30	4,461,284	07/24/84	Fackler	T	
	A31	4,492,229	01/08/85	Grunwald		
	A32	4,617,916	10/21/86	Le Vahn et al.		
	A33	4,627,421	12/09/86	Symbas et al.		
	A34	4,637,377	01/20/87	Loop		
	A35	4,646,747	03/03/87	Lundback		
	A36	4,688,570	07/25/87	Kramer et al.		
	A37	4,702,230	10/27/87	Pelta		
	A38	4,718,418	01/12/88	L'Esperance, Jr.		
	A39	4,726,356	02/23/88	Santilli et al.		
	A40	4,726,358	02/23/88	Brady		
	A41	4,736,749	04/12/88	Lundback	T	
	A42	4,747,394	05/31/88	Wananabe		
	A43	4,747,395	05/31/88	Brief		
	A44	4,754,746	07/05/88	Сох		
	A45	4,803,984	02/14/89	Narayanan et al.		
	A46	4,808,163	02/28/89	Laub		
	A47	4,829,985	05/16/89	Couetil		
	A48	4,852,552	08/01/89	Chaux		
	A49	4,854,318	08/08/89	Solem et al.		
	A50	4,863,133	09/05/89	Bonnell		
	A51	4,865,019	09/12/89	Phillips		
	A52	4,884,559	12/05/89	Collins		
	A53	4,925,443	05/15/90	Heilman et al.		
	A54	4,949,707	08/21/90	LeVahn et al.		
	A55	4,955,896	09/11/90	Freeman		
	A56	4,962,758	10/16/90	Lasner et al.		
	A57	4,971,037	11/02/90	Pelta		
V	A58	4,973,300	11/27/90	Wright		
/OC/	A59	4,989,587	02/05/91	Farley		
		·	•			

EXAMINER	/Cary O'Connor/	DATE CONSIDERED	09/21/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not consider d. Include copy of this form with next communication to applicant.

Page 3 of 12

INFORMATION DISCLOSURE CITATION
Form PTO-1449 (ModMod)
(Use several sheets if necessary)

ATTY. DOCKET NO.
GUID-011CON2

APPLICANT

Hu et al.

FILING DATE
December 12, 2003

SERIAL NO.
10/734,353

GROUP
Unassinged

A60	4,991,578	02/12/91	Cohen			
A61	4,993,862	02/19/91	Pelta			
A62	5,009,660	04/23/91	Clapham			
A63	5,011,469	04/30/91	Buckberg et al.			
A64	5,025,779	06/25/91	Bugge			
A65	5,036,868	08/06/91	Berggren et al.			
A66	5,037,428	08/06/91	Picha et al.			-
A67	5,052,373	10/01/91	Michelson			
A68	5,053,041	10/01/91	Ansari et al.			
A69	5,080,088	01/14/92	LeVahn			
A70	5,098,369	03/24/92	Heilman et al.			
A71	5,102,853	04/07/92	Scirica et al.			
A72	5,119,804	06/09/92	Anstadt			
A73	5,131,905	07/21/92	Grooters et al.			
A74	5,133,724	07/28/92	Wilson, Jr. et al.			
A75	5,159,921	11/03/92	Hoover			
A76	5,167,223	12/01/92	Koros et al.			
A77	5,171,254	12/15/92	Sher	Î		
A78	Re 34,150	12/29/92	Santilli, deceased, et al.			
A79	5,231,974	08/03/93	Giglio et al.			
A80	5,287,861	02/22/94	Wilk			
A81	5,293,863	03/15/94	Zhu et al.			
A82	5,300,087	04/05/94	Knoepfler			
A83	5,318,013	06/07/94	Wilk			
A84	5,336,252	08/09/94	Cohen			
A85	5,382,256	01/17/95	Del Castillo			
A86	5,382,756	01/17/95	Dagan	•		
A87	5,383,840	01/24/95	Heiman et al.			<u> </u>
	A61 A62 A63 A64 A65 A66 A67 A68 A69 A70 A71 A72 A73 A74 A75 A76 A77 A78 A79 A80 A81 A82 A83 A84 A85 A86	A61 4,993,862 A62 5,009,660 A63 5,011,469 A64 5,025,779 A65 5,036,868 A66 5,037,428 A67 5,052,373 A68 5,053,041 A69 5,080,088 A70 5,098,369 A71 5,102,853 A72 5,119,804 A73 5,131,905 A74 5,133,724 A75 5,159,921 A76 5,167,223 A77 5,171,254 A78 Re 34,150 A79 5,231,974 A80 5,287,861 A81 5,293,863 A82 5,300,087 A83 5,318,013 A84 5,336,252 A85 5,382,256 A86 5,382,756	A61 4,993,862 02/19/91 A62 5,009,660 04/23/91 A63 5,011,469 04/30/91 A64 5,025,779 06/25/91 A65 5,036,868 08/06/91 A66 5,037,428 08/06/91 A67 5,052,373 10/01/91 A68 5,053,041 10/01/91 A69 5,080,088 01/14/92 A70 5,098,369 03/24/92 A71 5,102,853 04/07/92 A72 5,119,804 06/09/92 A73 5,131,905 07/21/92 A74 5,133,724 07/28/92 A75 5,159,921 11/03/92 A76 5,167,223 12/01/92 A77 5,171,254 12/15/92 A78 Re 34,150 12/29/92 A79 5,231,974 08/03/93 A80 5,287,861 02/22/94 A81 5,293,863 03/15/94 A82 5,300,087 04/05/94 <td>A61 4,993,862 02/19/91 Pelta A62 5,009,660 04/23/91 Clapham A63 5,011,469 04/30/91 Buckberg et al. A64 5,025,779 06/25/91 Bugge A65 5,036,868 08/06/91 Berggren et al. A66 5,037,428 08/06/91 Picha et al. A67 5,052,373 10/01/91 Michelson A68 5,053,041 10/01/91 Ansari et al. A69 5,080,088 01/14/92 LeVahn A70 5,098,369 03/24/92 Heilman et al. A71 5,102,853 04/07/92 Scirica et al. A72 5,119,804 06/09/92 Anstadt A73 5,131,905 07/21/92 Grooters et al. A74 5,133,724 07/28/92 Wilson, Jr. et al. A75 5,159,921 11/03/92 Koros et al. A76 5,167,223 12/01/92 Koros et al. A79 5,231,974 08/03/93</td> <td>A61 4,993,862 02/19/91 Pelta A62 5,009,660 04/23/91 Clapham A63 5,011,469 04/30/91 Buckberg et al. A64 5,025,779 06/25/91 Bugge A65 5,036,868 08/06/91 Berggren et al. A66 5,037,428 08/06/91 Picha et al. A67 5,052,373 10/01/91 Michelson A68 5,053,041 10/01/91 Ansari et al. A69 5,080,088 01/14/92 LeVahn A70 5,098,369 03/24/92 Heilman et al. A71 5,102,853 04/07/92 Scirica et al. A72 5,119,804 06/09/92 Anstadt A73 5,131,905 07/21/92 Grooters et al. A74 5,133,724 07/28/92 Wilson, Jr. et al. A75 5,167,223 12/01/92 Koros et al. A77 5,171,254 12/15/92 Sher A78 Re 34,150 12/29/92 Sa</td> <td>A61 4,993,862 02/19/91 Pelta A62 5,009,660 04/23/91 Clapham A63 5,011,469 04/30/91 Buckberg et al. A64 5,025,779 06/25/91 Bugge A65 5,036,868 08/06/91 Picha et al. A66 5,037,428 08/06/91 Picha et al. A67 5,052,373 10/01/91 Michelson A68 5,053,041 10/01/91 Ansari et al. A69 5,080,088 01/14/92 LeVahn A70 5,098,369 03/24/92 Heilman et al. A71 5,102,853 04/07/92 Scirica et al. A72 5,119,804 06/09/92 Anstadt A73 5,131,905 07/21/92 Grooters et al. A74 5,133,724 07/28/92 Wilson, Jr. et al. A75 5,167,223 12/01/92 Koros et al. A76 5,167,223 12/01/92 Koros et al. A77 5,171,254 12/15/92 Sher A78 Re 34,150 12/29/92 Santilli, deceased, et al. A79 5,231,974 08/03/93 Giglio et al. A80 5,287,861 02/22/94 Wilk A81 5,293,863 03/15/94 Zhu et al. A82 5,300,087 04/05/94 Knoepfler A83 5,318,013 06/07/94 Wilk A84 5,336,252 08/09/94 Cohen A85 5,382,256 01/17/95 Dagan</td>	A61 4,993,862 02/19/91 Pelta A62 5,009,660 04/23/91 Clapham A63 5,011,469 04/30/91 Buckberg et al. A64 5,025,779 06/25/91 Bugge A65 5,036,868 08/06/91 Berggren et al. A66 5,037,428 08/06/91 Picha et al. A67 5,052,373 10/01/91 Michelson A68 5,053,041 10/01/91 Ansari et al. A69 5,080,088 01/14/92 LeVahn A70 5,098,369 03/24/92 Heilman et al. A71 5,102,853 04/07/92 Scirica et al. A72 5,119,804 06/09/92 Anstadt A73 5,131,905 07/21/92 Grooters et al. A74 5,133,724 07/28/92 Wilson, Jr. et al. A75 5,159,921 11/03/92 Koros et al. A76 5,167,223 12/01/92 Koros et al. A79 5,231,974 08/03/93	A61 4,993,862 02/19/91 Pelta A62 5,009,660 04/23/91 Clapham A63 5,011,469 04/30/91 Buckberg et al. A64 5,025,779 06/25/91 Bugge A65 5,036,868 08/06/91 Berggren et al. A66 5,037,428 08/06/91 Picha et al. A67 5,052,373 10/01/91 Michelson A68 5,053,041 10/01/91 Ansari et al. A69 5,080,088 01/14/92 LeVahn A70 5,098,369 03/24/92 Heilman et al. A71 5,102,853 04/07/92 Scirica et al. A72 5,119,804 06/09/92 Anstadt A73 5,131,905 07/21/92 Grooters et al. A74 5,133,724 07/28/92 Wilson, Jr. et al. A75 5,167,223 12/01/92 Koros et al. A77 5,171,254 12/15/92 Sher A78 Re 34,150 12/29/92 Sa	A61 4,993,862 02/19/91 Pelta A62 5,009,660 04/23/91 Clapham A63 5,011,469 04/30/91 Buckberg et al. A64 5,025,779 06/25/91 Bugge A65 5,036,868 08/06/91 Picha et al. A66 5,037,428 08/06/91 Picha et al. A67 5,052,373 10/01/91 Michelson A68 5,053,041 10/01/91 Ansari et al. A69 5,080,088 01/14/92 LeVahn A70 5,098,369 03/24/92 Heilman et al. A71 5,102,853 04/07/92 Scirica et al. A72 5,119,804 06/09/92 Anstadt A73 5,131,905 07/21/92 Grooters et al. A74 5,133,724 07/28/92 Wilson, Jr. et al. A75 5,167,223 12/01/92 Koros et al. A76 5,167,223 12/01/92 Koros et al. A77 5,171,254 12/15/92 Sher A78 Re 34,150 12/29/92 Santilli, deceased, et al. A79 5,231,974 08/03/93 Giglio et al. A80 5,287,861 02/22/94 Wilk A81 5,293,863 03/15/94 Zhu et al. A82 5,300,087 04/05/94 Knoepfler A83 5,318,013 06/07/94 Wilk A84 5,336,252 08/09/94 Cohen A85 5,382,256 01/17/95 Dagan

EXAMINER /Cary O'Connor/	DATE CONSIDERED 09/21/2007	
,		

EXAMINER: Initial if reference considered, whether or not citation is in c nformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 4 of 12

INFORMATION DISCLOSURE CITATION Form PTO-1449 (ModMed) (Use several sheets if necessary)

	90
ATTY. DOCKET NO.	SERIAL NO.
GUID-011CON2	10/734,353
APPLICANT	
н	u et al.
FILING DATE	GROUP
December 12, 2003	Unassinged

A TRAD					
10C1	A88	5,385,840	01/31/95	Heiman et al.	
	A89	5,417,709	05/23/95	Slater	
	A90	5,437,651	08/01/95	Todd et al.	
	A91	5,452,733	09/26/95	Sterman et al.	
	A92	5,467,763	11/21/95	McMahon et al.	
	A93	5,498,256	03/12/96	Furnish	
	A94	5,503,617	04/02/96	Jako	
	A95	5,509,890	04/23/96	Kazama	
	A96	5,512,037	04/30/96	Russell et al.	
	A97	5,514,075	05/07/96	Moll et al.	
	A98	5,514,076	05/07/96	Ley	
	A99	5,520,610	05/28/96	Giglio et al.	
	A100	5,529,571	06/25/96	Daniel	
	A101	5,547,458	08/20/96	Ortiz et al.	
	A102	5,573,496	11/12/96	Mcpherson et al.	·
	A103	5,582,580	12/10/96	Buckman, Jr. et al.	
	A104	5,607,421	03/04/97	Jeevanandam et al.	
	A105	5,607,446	03/04/97	Beehler et al.	
	A106	5,613,937	03/25/97	Garrison et al.	
	A107	5,616,117	04/01/97	Dinkler et al.	
	A108	5,651,378	07/29/97	Matheny et al.	
	A109	5,727,569	03/17/98	Benetti et al.	
	A110	5,730,757	03/24/98	Benetti et al.	
	A111	5,749,892	05/12/98	Vierra et al.	
	A112	5,755,660	05/26/98	Tyagi	
	A113	5,772,583	06/30/98	Wright et al.	
	A114	5,782,746	07/21/98	Wright	
V	A115	5,795,291	08/18/98	Koros et al.	
/OC/	A116	5,799,661	09/01/98	Boyd et al.	<u> </u>

EXAMINER	/Cary O'Connor/	DATE CONSIDERED 09/21/2007
EXAMINER :	: Initial if reference considered	whether or not citation is in conformanc with MPEP 609; Draw

line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 5 of 12

Form PTO-1449 (ModMed)
(Use several sheets if necessary)

	1 4 5 4 4 1 1
ATTY. DOCKET NO.	SERIAL NO.
GUID-011CON2	10/734,353
APPLICANT	
Н	u et al.
FILING DATE	GROUP
December 12, 2003	Unassinged

7 TRACE)		-		
70C/	A117	5,807,243	09/15/98	Vierra et al.	
	A118	5,813,410	09/29/98	Levin	
	A119	5,836,311	11/17/98	Borst et al.	
	A120	5,846,187	12/1998	Wells et at.	
	A121	5,846,193	12/08/98	Wright	
	A122	5,846,194	12/08/98	Wasson, et al.	
	A123	5,865,730	02/02/99	Fox et al.	
	A124	5,868,770	02/09/99	Rygaard	
	A125	5,875,782	03/02/99	Ferrari et al.	
	A126	5,876,332	03/02/99	Looney	
	A127	5,879,291	03/09/99	Kolata et al.	
	A128	5,882,299	03/16/99	Rastegar et al.	
	A129	5,885,271	03/23/99	Hamilton et al.	
	A130	5,888,247	03/30/99	Benetti	
	A131	5,891,017	04/06/99	Swindle et al.	
	A132	5,894,843	04/20/99	Benetti et al.	
	A133	5,906,601	05/25/99	Taylor et al.	
	A134	5,906,607	05/25/99	Taylor et al.	
	A135	5,908,382	06/01/99	Koros et al.	
_	A136	5,913,876	06/22/99	Taylor et al.	
	A137	5,927,284	07/27/99	Borst et al.	
	A138	5,944,736	08/31/99	Taylor et al.	
	A139	5,944,658	08/31/99	Koros et al.	
	A140	5,947,125	09/07/99	Benetti	
	A141	5,947,896	09/07/99	Sherts et al.	
	A142	5,957,835	09/28/99	Anderson et al.	
\forall	A143	5,967,972	10/19/99	Santilli et al.	
/OC/	A144	5,976,080	11/02/99	Farascioni	

EXAMINER	/Cary O'Connor/	DATE CONSIDERED	09/21/2007

EXAMINER: Initial if reference considered, whether or not citation is in c nformanc with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 6 of 12

INFORMATION DISCLOSURE CITATION
Form PTO-1449 (ModMed)
(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
GUID-011CON2	10/734,353
APPLICANT	
H	u et al.
FILING DATE	GROUP
December 12, 2003	Unassinged

Ь	*/	 			 	
TA TONSE	A145	5,976,171	11/02/99	Taylor		
	A146	5,984,843	04/16/99	Benetti al.		
	A147	5,984,865	11/16/99	Farley et al.		
	A148	5,984,867	11/16/99	Deckman et al.		
	A149	6,007,486	12/28/99	Hunt et al.		
	A150	6,017,304	01/25/00	Vierra et al.		
	A151	6,019,722	02/01/00	Spence et al.		
	A152	6,030,340	02/29/00	Maffei et al.		
	A153	6,032,672	03/07/00	Taylor		
	A154	6,033,362	03/07/00	Cohn		
	A155	6,036,641	03/14/00	Taylor et al.		
	A156	Des 421,803	03/21/00	Koros et al.		
	A157	6,050,266	04/18/00	Benetti et al.		
	A158	6,063,021	05/16/00	Hossain et al.		
	A159	6,071,295	06/06/00	Takahashi		
	A160	6,099,468	08/08/00	Santilli et al.		
	A161	6,102,854	08/15/00	Carfier et al.		
	A162	6,139,492	10/31/00	Vierra et al.		
	A163	6,183,486	02/06/01	Snow et al.		
	A164	6,190,311	02/20/01	Glines et al.	··· ''	
	A165	6,193,652	02/27/01	Berky et al.		
	A166	6,200,263	03/13/01	Person		
	A167	6,210,323	04/03/01	Gilhuly et al.	- ,	
	A168	6,213,940	04/10/01	Sherts et al.		
	A169	6,213,941	04/10/01	Benetti et al.		
	A170	6,231,506	05/15/01	Hu et al.		
/OC/	A171	6,283,912	09/04/01	Hu et al.		

EXAMINER	/Cary O'Connor/	DATE CONSIDERED	09/21/2007	
H				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if

not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION
Form PTO-1449 (ModMind)
(Use several sheets if necessary)

		_
ATTY. DOCKET NO.	SERIAL NO.	_
GUID-011CON2	10/734,353	
APPLICANT	-	_
н	u et al.	
FILING DATE	GROUP	
December 12, 2003	Unassinged	

TA TRAVE	A172	6,290,644	09/18/01	Green, II et al.		
	A173	6,315,717	11/13/01	Benetti et al.		
	A174	6,331,157	12/18/01	Hancock		
	A175	6,331,158	12/18/01	Hu et al.		
	A176	6,346,077	02/12/02	Taylor et al.	1	
	A177	6,348,036	02/19/02	Looney et al.		
/OC/	A178	6,394,951	05/28/02	Taylor et al.	1	

FOREIGN PATENT DOCUMENTS

		Document	Date	Country	Country Class Subclass Tra		Tran	slation
		Number				•	Yes	N
/OC/	B1	473,451	01/13/15	France	<u> </u>			
	B2	168,216	09/01/21	United Kingdom				
	В3	WO 87/04081	07/16/87	PCT				
	B4	0 293 760 A2	12/07/88	Europe				
	B5	0 293 760 A3	12/07/88	Europe				
	В6	0 293 760 B1	12/07/88	Europe	1			
	B7	DE 90 04513	06/28/90	Germany				
	B8	GB 2 233 561 A	01/16/91	United Kingdom		ļ		·
	B9	GB 2 267 827 A	12/22/93	United Kingdom	1			
	B10	0 630 629	05/17/94	Europe				
	B11	WO 94/14383	07/04/94	PCT				-
	B12	WO 94/18881	09/01/94	PCT				
	B13	WO 95/01757	01/19/95	PCT				
	B14	WO 95/15715	06/1995	PCT				
/OC/	B15	WO 95/17127	06/29/95	PCT				

EXAMINER	/Cary O'Connor/	 DATE CONSIDERED	/Cary O'Connor/	
)

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 8 of 12

FORMATION DISCLOSURE CITATION Form PTO-1449 (ModWed) (Use several sheets if necessary)

	1 4 9 6 6 6	" • •
 ATTY, DOCKET NO.	SERIAL NO.	
GUID-011CON2	10/734,353	
APPLICANT		
н	u et al.	
FILING DATE	GROUP	
December 12 2003	Unassinged	

B16	
B18 WO 97/10753 03/27/97 PCT B19 WO 97/32514 A2 09/12/97 PCT B20 WO 97/32514 A3 09/12/97 PCT B21 WO 97/40752 11/06/97 PCT B22 WO 98/27869 07/02/98 PCT	
B19 WO 97/32514 A2 09/12/97 PCT B20 WO 97/32514 A3 09/12/97 PCT B21 WO 97/40752 11/06/97 PCT B22 WO 98/27869 07/02/98 PCT	
B20 WO 97/32514 A3 09/12/97 PCT B21 WO 97/40752 11/06/97 PCT B22 WO 98/27869 07/02/98 PCT	
B21 WO 97/40752 11/06/97 PCT B22 WO 98/27869 07/02/98 PCT	
B22 WO 98/27869 07/02/98 PCT	
DO2 11/06/00 DOT	
B23 WO 98/48703 11/05/98 PCT	
B24 WO 98/49947 11/12/98 PCT	
B25 WO 99/08585 02/25/99 PCT	
B26 WO 99/09892 03/04/99 PCT	
B27 WO 99/16367 04/08/99 PCT	
B28 WO 00/06041 02/10/00 PCT	
B29 WO 00/10466 03/02/00 PCT	
B30 WO 00/16367 03/2000 PCT	
B31 0 803 228 A1 10/2000 Europe	
B32 0 993 806 A2 04/19/00 Europe	
B33 WO 00/2000 07/2000 PCT	
B34 WO/42920 07/2000 PCT	
B35 WO 00/42921 07/27/00 PCT	
B36 WO 00/42935 07/27/00 PCT	
B37 WO 00/42936 07/27/00 PCT	
B38 WO 00/42937 07/27/00 PCT	
/OC/ B39 WO 00/66008 09/11/00 PCT	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Cary O'Connor/	DATE CONSIDERE	D (09/21/2007		
					 	_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if

not in conformance and not considered. Include copy of this form with next c mmunication to applicant.

Page 9 of 12

		raye 5 or 1	
PE CONFORMATION DISCLOSURE CITATION	ATTY, DOCKET NO. GUID-011CON2	SERIAL NO. 10/734,353	
Form PTO-1449 (ModMod) 100 Use several sheets if necessary)	APPLICANT Hu et al.		
MA 1 2 DE Several Sheets II necessary)	FILING DATE December 12, 2003	GROUP Unassinged	

31	<i>_</i> #/_		December 12, 2003	Unassinged		
Bemo	7	09/345,859 Looney et al. filed on 07/01/99				
	22	09/438,670 Parsons, et al. filed on 11/12/99				
C	23	09/489,274 Brown et al. filed on 01/21/00	-			
C	24	60/117,333 Looney et al. (provisional) filed on 01/24/99				
С	25	Akins, et al., "Preservation of Interventricular Septal Function in Patients Having Coronary Artery Bypass Graft Without Cardiopulmonary Bypass," American Heart Journal, vol. 107, No. 2, February, 1984, pgs. 304-309				
C	6	Ancalmo, N. and J. L. Ochsner: "A Modified Sternal Retu				
C		Angelini, G.D., M.D. et al., "Fiber-Optic Retractor for H Surg. (1990; 50:314-5)	arvesting the Internal Mamr	mary Artery," Ann. Thorac.		
C		Angelini, G.D., M.D., "Simple, Inexpensive Method of H. Surgery," Ann. Thora. Surg 46:46-247, Aug 1988	eart Retraction During Cord	onary Artery Bypass		
C	29	Anstadt, M.D., et al., "Direct Mechanical Ventricular Ac no. 1, July 1991	tuation for Cardiac Arrest in	n Humans, @ Chest, vol. 100,		
C		Antinori, C. et al., "A Method of Retraction During Reop Retractor," The Society of Thoracic Surgeons: 1989.	erative Coronary Operation	s Using the Favaloro		
С	211	Archer, DO, et al., "Coronary Artery Revascularization Journal, vol. 11, no. 1, March 1984, pgs. 52-57.	Without Cardiopulmonary B	ypass," Texas Heart Institute		
С	12	Arom, K.V., et al., "Mini-Sternotomy for Coronary Arter, 1996; 61:1271-2.	y Bypass Grafting," The Ani	nals of Thoracic Surgery		
С		Arom, K.V., et al., "Mini-Stemotomy for Coronary Arter, 1996; 62:1884-85.	y Bypass Grafting," The Ann	nals of Thoracic Surgery		
С		Ballantyne, M.D., et al., "Delayed Recovery of Severely" Ventricular Assist Device After Coronary Artery Bypass (Cardiology, vol. 10, no. 3, September 1987, pgs 710-712	<i>Graft Surgery,</i> " Journal of th			
С	:15	Bedellino, M.M., et al., "The Cardiac Rag - Simple Exposino. 2, 1988, 134-35.		eart Institute Journal, vol. 15,		
С	16	Beg, R.A., et al., "Internal Mammary Retractor," Ann Ti	norac, Surg., vol. 39, no. 1, p	ogs. 286-287, January 1985.		
C	17	Benetti, et al., "Direct Coronary Surgery with Saphenous Cardiac Arrest," The Journal of Cardiovascular Surgery,		• • • •		
С		Benetti, et al., "Direct Myocardial Revascularization Wit August, 1991, pgs 312-316				
С	19	Benetti, J., et al., "A Single Coronary Artery Bypass Graf Pump Techniques and Conventional Procedures," Europ (1998) S7-S12.	ean Journal of Cardio-Thora	cic Surgery, 14 (Supp. 1)		
C		Borst, et al., "Coronary Artery Bypass Grafting Without of Native Coronary Flow Using a Novel Anastomosis Site R 1996, vol. 27, no. 6, pgs. 1356-64	estraining Device ("Octopu	s"), " J Am Coll Cardiol, May		
IOCI C	21	Borst, et al., "Regional Cardiac Wall Immunobilization for Grafting on the Beating Heart: The 'Octopus' Method,"				

EXAMINER	/Cary O'Connor/	DATE CONSIDERED	09/21/2007
line through	n citation if		nformance with MPEP 609; Drawnext communication to applicant.

Page 10 of 12

INFORMATION DISCLOSURE CITATION
Form PTO-1449 (ModMod)
(Use several sheets if necessary)

	1 480 10 01 11
ATTY. DOCKET NO.	SERIAL NO.
GUID-011CON2	10/734,353
APPLICANT	
н	u et al.
FILING DATE	GROUP
December 12, 2003	Unassinged

MIRI	<u> </u>		
		C22	Supplement 1, 1-177
/(/OC/		British Heart Journal, "Coronary Surgery Without Cardiopulmonary Bypass," pgs. 203-205, 1995.
		C23	Buffolo, et al., "Direct Myocardial Revascularization Without Cardiopulmonary Bypass," Thoac. Cardiovasc. Surgeon, 33 (1985) pgs. 26-29
		C24	Bugge, M., "A New Internal Mammary Artery Retractor," Thorac. Cardiovasc Surgeon 38, pgs. 316-17 (1990)
		C25	Calafiore, A. M., et al., "Minimally Invasive Coronary Artery Bypass Grafting," The Annals of Thoracic Surgery, 62:1545-8, 1996
		C26	Campalani et al., "A New Self-Retaining Internal mammary Artery Retractor." J. Cardiovas. Surg., Vol. 28. (1987)
		C27	Cartier, R, MD., "Triple Coronary Artery Revascularization on the Stabilized Beating Heart: Initial Experience," Montreal Heart Institute, CJS, Vol. 41, No. 4, pgs. 283-288, August 1998
		C28	Chaux, A. and C. Blanche, "A New Concept in Sternal Retraction: Applications for Internal Mammary Artery Dissection and Valve Replacement Surgery," Ann. Thorac. Surg. 42, pgs. 473-474, Oct. 1986.
		C29	Cooley, D. A., "Limited Access Myocardial Revascularization," Texas Heart Institute Journal, pgs. 81-84, vol. 23, no. 2, 1996
		C30	Correspondence and Brief Communications, Archives of Surgery - Volume 115, 1136-37, September 1980
		C31	Cremer, J, MD, "Off-Bypass Coronary Bypass Grafting Via Minithoracotomy Using Mechanical Epicardial Stabilization," The Annals of Thoracic Surgery, 63:S79-83, 1997.
		C32	Delacroix-Chevalier Surgical Instruments, IMA Saving Packages Brochure.
		C33	DelRossi, A J and Lemole, GM, "A New Retractor to Aid in Coronary Artery Surgery," The Annals of Thoracic Surgery, Vol. 36, No. 1, 101-102, July 1983.
		C34	Fanning, MD., "Reoperative Coronary Artery Bypass Grafting Without Cardiopulmonary Bypass," The Annals of Thoracic Surgery, vol. 55, no. 2, February 1993, pgs. 486-489
		C35	Favaloro, M.D., et al, "Direct Myocardial Revascularization by Saphenous Vein Graft," The Annals of Thoracic Surgery, vol. 10, no. 2, August 1970
		C36	Fonger, et al., "Enhanced Preservation of Acutely Ischemic Myocardium with Transeptal Left Ventricular Assist," The Annals of Thoracic Surgery, vol. 57, no. 3, March 1994, pgs. 570-575.
		C37	Gacioch, et al., "Cardiogenic Shock Complicating Acute Myocardial Infarction: The Use of Coronary Angioplasty and the Integration of the New Support Device into Patient Management," Journal of the American College of Cardiology, vol. 19, no. 3, March 1, 1992
		C38	Green, GE., "Technique of Internal Mammary-Coronary Artery Anastomosis," The Journal of Cardiovascular Surgery, 78:455-79, 1979.
		C39	Groopman, J., "Heart Surgery, Unplugged; Making the Coronary Bypass Safer, Cheaper, and Easier," The New Yorker, January 11, 1999, pgs 43-46, 50-51
N		C40	Guzman, F. M.D., "Transient Radial Nerve Injury Related to the Use of A Self Retraining Retractor for Internal Mammary Artery Dissection," J. Cardiovasc. Surg. 30, 1989, pgs. 1015-1016.
/0	DC/	C41	Hasan, RI, et al., "Technique of Dissecting the Internal Mammary After Using the Moussalli Bar," European Journal of Cardiothoracic Surgery, 4:571-572, 1990.

EXAMINER	/Cary O'Connor/	DATE CONSIDERED	09/21/2007
EXAMINER: line through	Initial if reference considered, whether of citation if	or not citation is in c	onf rmance with MPEP 609; Draw
•	ermance and not considered. Include co	ny of this form with	next communication to applicant.

				Page 11 of	
IPE	INE	ODMATION DISCLOSURE CITATION	ATTY. DOCKET NO. GUID-011CON2	SERIAL NO. 10/734,353	
5	INFORMATION DISCLOSURE CITATION Form PTO-1449 (ModMod) (Use several sheets if necessary)		APPLICANT Hu et al.		
1 2 20	M S	(Use several sneets if necessary)	FILING DATE December 12, 2003	GROUP Unassinged	
TRAUEN	C42	Itoh, Toshiaki, M.D., et al., "New Modification of 57:1670-1.	a Mammary Artery Retractor," A	Ann. Thorac. Surg. 9, 1994;	
	C43	Izzat, FRCS, et al., "Cardiac Stabilizer for Minim Inc., 1997 by the Society of Thoracic Surgeons	ally Invasive Direct Coronary Ar	tery Bypass, "Elsevier Scien	
	C44	Japanese Article "Heart Retractor"			

PAUENARY	C42	Itoh, Toshiaki, M.D., et al., "New Modification of a Mammary Artery Retractor," Ann. Thorac. Surg. 9, 1994; 57:1670-1.
	C43	Izzat, FRCS, et al., "Cardiac Stabilizer for Minimally Invasive Direct Coronary Artery Bypass," Elsevier Science Inc., 1997 by the Society of Thoracic Surgeons
	C44	Japanese Article "Heart Retractor"
	C45	Japanese Journal of Thoracic Surgery, vol. 42, no. 2, 1989.
	C46	Kazama, S. et al., "Fabric Heart Retractor for Coronary Artery Bypass Operations," The Annals of Thoracic Surgery, 55:1582-3, 1993.
	C47	Kolessov, M.D., "Mammary Artery-Coronary Artery Anastomosis as Method of Treatment for Angina Pectoris," Thoracic and Cardiovascular Surgery, vol. 54, no. 4, October, 1967, pgs. 535-544
	C48	Konishi, T. MD, et al., "Hybrid-Type Stabilizer for Off-Pump Direct Coronary Artery Bypass Grafting," Annals of Thoracic Surgery 66:961-2, 1998
		Kresh, et al., "Heart-Mechanical Assist Device Interaction," Trans. Am. Soc. Artif. Intern. Organs, vol. XXXII, 1986, pgs. 437-443
		Lavergne, et al., "Transcatheter Radio Frequency Ablation of A Trial Tissue Using a Suction Catheter," PACE, Vol. 12, January 1989, Part II, pgs. 177-186
		The Annals of Thoracic Surgery, vol. 58, no. 1, July 1994, pgs. 516-523
	C52	Matsuura, A. MD, et al., "A New Device for Exposing the Circumflex Coronary Artery" The Annals of Thoracic Surgery, 59:1249-50, 1995, pgs. 1249-1250.
	C53	McGee, et al. "Extended Clinical Support with an Implantable Left Ventricular Assist Device," Trans. Am Soc. Artif. Intern. Organs, Vol. XXXV, 1989, pgs. 614-616
	C54	McKeown, P.P. et al., "A Modified Sternal Retractor for Exposure of the Internal Mammary Artery," Ann. Thorac. Surg. 32 (1981) 619.
	C55	Ochsner, JL, et al., "Surgical Management of Diseased Intracavitary Coronary Arteries," The Annals of Thoracic Surgery, Vol. 38, No. 4, July, pgs. 356-62, October 1984.
	C56	Parsonnet, V. MD, et al., "Graduated probes for Coronary Bypass Surgery," The Journal of Thoracic and Cardiovascular Surgery, vol. 68, No. 3, 424-26 (Sept. 1974)
	C57	Parsonnet, V. MD, et al., "Self - Retaining Epicardial Retractor for Aortocoronary Bypass Surgery," The Journal of Thoracic and Cardiovascular Surgery, 629-30 1979.
		Perrault, L. et al., "Snaring of the Target Vessel in Less Invasive Bypass Operations Does Not Cause Endothelial Dysfunction," The Society of Thoracic Surgeons, pgs. 751-755, 1997
		Pfister, et al., "Coronary Artery Bypass Without Cardiopulmonary Bypass," The Annals of Thoracic Surgery, vol. 54, no. 6, December 1992, pgs. 1085-1092
	C60	Phillips, Steven J., M.D. et al., "A Versatile Retractor for Use in Harvesting the Internal Mammary Artery and Performing Standard Cardiac Operations," J. Thorac. Cardiovasc. Surg. (1989; 97:633-5).
	C61	Pilling Surgical Instruments, A Rusch International Company Brochure.
V		Pittman, John, M.D., et al., "Improved Visualization of the Internal Mammary Artery with a New Retractor System," Ann. Thorac. Surg., 1989; 48:869-70.
IOCI	C63	Riahi, et al., "A Simple Technique and Device to Provide a Bloodless Operative Field in Coronary Artery Surgery Without Cross-Clamping the Aorta," The Journal of Thoracic and Cardiovascular Surgery, vol. 66, no. 6., Dec. 1973, pgs. 974-78

EXAMINER	/Cary O'Connor/	DATE CONSIDERED	09/21/2007
EXAMINER: line through		d, whether or not citation is in con	formance with MPEP 609; Drav
		. Include copy of this form with ne	xt c mmunication to applican

Page 12 of 12

INFORMATION DISCLOSURE CITATION
Form PTO-1449 (ModMed)
(Use several sheets if necessary)

ATTY. DOCKET NO.
GUID-011CON2

APPLICANT

Hu et al.

FILING DATE
December 12, 2003

DOCKET NO.
SERIAL NO.
10/734,353

GROUP
Unassinged

ADENA	87	-	
/(OC/	C64	Richenbacher, M.D., et al., "Current Status of Cardiac Surgery: A 40-Year Review," Journal of American College of Cardiology, vol. 14, no. 3, pgs. 535-544
		C65	Robicsek, F., "Aortic Spoon-Jaw Clamp for Aorta-Saphenous Vein Anastomosis," Journal of Cardiac Surgery, 10:583-585, 1995
	_	C66	Robinson, et al., "A Minimally Invasive Surgical Method for Coronary Revascularization - Preliminary Experience in Five Patients," Circulation, October 15, 1995, vol. 92, no. 8, 1-176
		C67	Rousou, J. et al., "Cardiac Retractor for Coronary Bypass Operations," The Society of Thoracic Surgeons, pgs. 52:877-78, 1991.
		C68	Roux, D. MD. et al., "New Helper Instrument in Cardiac Surgery," The Annals of Thoracic Surgery, 48: 595-6, 1989.
		C69	Roux, D., M.D. et al., "Internal Mammary Artery Dissection: A Three Dimensional Sternal Retractor," J. Cardiovasc. Surg., 1989; 30:996-7
		C70	Ruzevich et al. "Long-Term Follow-up of Survivors of Postcardiotomy Circulatory Support," Trans. Am. Soc. Artif. Intern. Organs, Vol. XXXIV, 1988, pgs. 116-124
		C71	Scholz, et al. "Transfemoral Placement of the Left Ventricular Assist Device "Hemopump" During Mechanical Resuscitation," Thoracic and Cardiovascular Surgeon, vol. 38 (1990) pgs. 69-72
		C72	Stevens, et al., "Closed Chest Coronary Artery Bypass With Cardioplegic Arrest in the Dog," 67th Scientific Session, 238, I-251
		C73	Trapp and R. Bisarya, "To Use or Not to Use the Pump Oxygenator in Coronary Bypass Operations," The Annals of Thoracic Surgery, vol. 19, no. 1, January 1975, pgs. 108-109
		C74	Trapp, et al., "Placement of Coronary Artery Bypass Graft without Pump Oxygenator," Journal of the Society of Thoracic Surgeons and The Southern Thoracic Surgeons Assn. vol. 19, no.1, January 1975
		C75	USSC Cardiovascular Thora-Lift J, United States Surgical Corporation, Norwalk, Connecticut, Product Brochure
		C76	Vigano, M., "Tecnica Operatoria," Minerva Cardioangiologica, vol. 23-N. 6-7 (1975)
		C77	Vincent, J.G., "A Compact Single Post Internal Mammary Artery Dissection Retractor," Eur. J. Cardio-Thor. Surg. 3 (1989) 276-277
		C78	Westaby, S. et al., "Less Invasive Coronary Surgery: Consensus From the Oxford Meeting," The Annals of Thoracic Surgery, 62:924-31, 1996.
/(OC/	C79	Zumbro, et al., AA Prospective Evaluation of the Pulsatile Assist Device, @ The Annals of Thoracic Surgery, vol. 28, no. 2, August, 1979, pgs. 269-273

		~			
EXAMINER	/Cary O'Connor/		DATE CONSIDERED	09/21/2007	

EXAMINER: Initial if reference considered, whether or not citation is in conformanc with MPEP 609; Draw line through citation if

not in conformance and not considered. Include copy of this form with next communicatien to applicant.